

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17517

In Re Application Of: Manabu Fujita, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/792,237	March 3, 2004	Philip Robert Smith	23389	3739	4668

Title
OTRE
CAPSULAR MEDICAL SYSTEM

JAN 25 2007

U.S. PATENT & TRADEMARK OFFICE

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).

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10/792,237	March 3, 2004	Philip Robert Smith	23389	3739	4668

Title:

CARDIAC MEDICAL SYSTEM



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
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January 23, 2007

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Thomas Spinelli

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Signature

Dated: January 23, 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Manabu Fujita, et al. **Examiner:** Philip Robert Smith

Serial No: 10/792,237 **Art Unit:** 3739

Filed: March 3, 2004 **Docket:** 17517

For: CAPSULAR MEDICAL SYSTEM **Dated:** January 23, 2007

Confirmation No.: 4668

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication No. 0 667 115 A1, published August 16, 1995; and
2. European Patent Publication No. 1 260 176 A2, published November 27, 2002.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

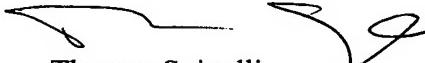
Dated: January 23, 2007

Thomas Spinelli

The references were cited in a Supplementary Partial Search Report dated October 30, 2006 received from the European Patent Office. Applicants are submitting a copy of the above-cited references, together with a copy of the Supplementary Partial Search Report. The relevance of the references has been described in the Supplementary Partial Search Report.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

JAN 25 2007

Docket Number (Optional)

17517

Application Number

10/792,237

Applicant(s)

Manabu Fujita, et al.

Filing Date

March 3, 2004

Group Art Unit

3739

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0 667 115 A1	8/16/95	Europe			✓	
		1 260 176 A2	11/27/02	Europe			✓	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.